

## **EXECUTIVE SUMMARY**

### **USCG Grant 1002.31**

#### **Development of Requirements for Risk-Based Assessment and Classification Methodology for Personal Flotation Devices: Phase V of a Multi-Phased Plan**

Phase V of this five (5) phase development process builds on four years of previous effort as a key element for improving lifejacket/personal flotation device (PFD) classification and flexibility in the standards for PFDs. The Phase V effort was the finalization of the Risk-Based Assessment and Classification Methodology related to the work conducted under the USCG Grant process. Other activity towards implementation of the Risk-Based Methodology will continue under an effort funded by the PFD Industry with input from the Standards Technical Panel of UL.

Phase I, Phase II, Phase III, and Phase IV overviews are as follows:

**Phase I (USCG Grant 1602.30):** In the first year, key PFD design performance details were identified and evaluated through an extensive series of calm and wave tank experiments with a broad range of lifejackets/PFDs and through an expert elicitation workshop;

**Phase II (USCG Grant 1702.32):** In phase II, the needed PFD test cases were identified; data collected from manufacturers to test the risk analysis model and to perform preliminary calibration of the model for the classification of PFDs, and an Expert-Opinion Elicitation Workshop was conducted to examine data collected and results obtained from the use of the model.

**Phase III (USCG Grant 1802.31):** A technical basis document for future PFD standards was provided by showing models for PFD performance and classification under a Report of Personal Flotation Device Risk and Reclassification Analysis (PFDRRA). The PFDRRA models consist of three recommended computational procedures for inherently buoyant, inflatable and hybrid PFDs.

**Phase IV (USCG 1902.29):** Three documents were provided as summarized below.

#### Document No. 1 – “PFD Calm Water Testing Data Summary”

The anticipated data collection was completed and is shown in the EXCEL spread sheets entitled “PFD Calm Water Testing Data Summary”. The data consists of testing a variety of Personal Flotation Devices on one subject having a 12 Lb. in-water weight with limited testing on a second subject of similar in-water weight and anatomy. The typical in-water performance characteristics were determined in conjunction with new test methods for Heave Period and Push Down/Pull Up Force examinations.

## Document No. 2 – “PFDRRA Elicitor Report”

This report covers an Expert-Opinion Elicitation Workshop as previously described under Phases II and III. The workshop under phase IV was conducted to examine the PFDRRA model in previous studies and expand the applicability from adults to children and infants, and update the adult model parameters. Additionally, the workshop examined the heave period testing of PFD-human systems, and working group results on PFD principles and requirements towards PFD ISO standard development.

## Document No. 3 – “ISO NA\_Part9annexF\_PFDRRA\_2010-04-10\_f”

The technical basis document for consideration into the ISO 12402 Personal Flotation Device Standards was further updated based upon the activities under Phase IV. This document consists of the Aggregate in-Water Effectiveness of PFDs using Risk and Reclassification Analysis (PFDRRA) Models. It is under consideration as an Annex F to Part 9 of the ISO 12402 Standards. Part 9 specifies the Test Methods to be used for meeting the compliance requirements of Parts 1 – 6 of ISO 12402.

### **Phase V (USCG 1002.31) Finalizing the Draft Standard and Web Tools on Wearable PFDs**

The overall objective of this phase was to finalize the draft standard on the use of Risk-Based Assessment for wearable PFDs as well to enhance the PFDRRA web tool and associated tools. The task group (TG) that was established by the Standards Technical Panel of the UL will prepare the draft standard to offer provisions on minimum component and device performance requirements and a minimum aggregate for the device performance for the compliance determination to the standard to enable risk-informed tradeoffs where appropriate.

The deliverable for this Phase is the attached reference document entitled “ISO\_PFDRRA\_TechReport2011-01-01.docx”. The revised version of the PFDRRA web tool is accessible to the USCG (Brandi Baldwin and Martin Jackson) as well as other involved parties. Please refer to Pages 3-5 of this document for screen captures of the PFDRRA web tool. Additional access is available by contacting BMA Engineering, “[ayyub@bmaengineering.com](mailto:ayyub@bmaengineering.com)”.

## Personal Flotation Device Risk and Reclassification Analysis (PFDRRA)

[New PFD Design](#)[Manage PFD Designs](#)[Sample PFD Designs](#)

### Instructions

This screen enables you to name the device, select the design characteristics of the PFD, and the evaluation, i.e., use, characteristics of the PFD. The tool requires that the design characteristics of the PFD are the same as the evaluation, i.e., use, characteristics, i.e., misuse is not permitted at the stage of development of the tool.

### New Design

Duplicate Existing Design:

PFD Design Name:

PFD Design Characteristics:

Buoyancy Type:

Design Environment:

Designed for (User):

Designed for (Activity):

PFD Use Characteristics:

Use Environment:

User:

Use Activity:

## Personal Flotation Device Risk and Reclassification Analysis (PFDRRA)

[New PFD Design](#)
[Manage PFD Designs](#)
[Sample PFD Designs](#)

### Instructions

The Personal Flotation Device Risk and Reclassification Analysis (PFDRRA) assesses the ability of a PFD design to save lives as a result of marine events. It provides a formal structure and consistency for dealing with new concepts and special classes of PFD designs by establishing life-saving probability equivalency to current standards and existing accepted designs. It can also aid in identifying critical factors for the PFD performance necessary to establish equivalence. The model allows for the use of life-saving probability as an overall performance measure to assist in making decisions for a design. Therefore, it complements and enhances the current standards and could allow design improvements by dealing with emerging technologies and concepts that cannot be effectively handled within the constraints of the current standards.

You may either (1) manage available PFDs, or (2) enter data to analyze a new PFD, or (3) view sample PFDs in the database. Entering data on new PFDs can be based on duplicating existing PFD records. Deleting a record would prompt you to confirm your selection. Clicking on a name would enable you to edit the PFD record or run the PFDRRA.

### Available PFD Designs

Name	Buoyancy Type	Design Environment Type	Design User Type	Design Activity Type	
brandi's III test	Inherently Buoyant	Calm Water (Type III)	Adult	On	Delete
Commercial Vessel Type I - variant - Copy	Inherently Buoyant	Open Water (Type I)	Adult	On	Delete
Sam SOLAS RTD	Inherently Buoyant	Open Water (Type I)	Adult	On	Delete
Sam SOLAS RTD - Copy	Inherently Buoyant	Open Water (Type I)	Adult	On	Delete

4 Found

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The list shows samples PFD designs. Sample designs cannot be edited. To modify a design, please create a new design by duplicating an existing sample PFD design.

### Sample PFD Designs

Name	Buoyancy Type	Design Environment Type	Design User Type	Design Activity Type	
BMA010	Inherently Buoyant	Calm Water (Type III)	Adult	On	Delete
BMA029	Inherently Buoyant	Calm Water (Type III)	Adult	On	Delete
BMA030	Inherently Buoyant	Near Shore (Type II)	Adult	On	Delete
BMA048	Inherently Buoyant	Calm Water (Type III)	Adult	On	Delete
BMA053	Inherently Buoyant	Calm Water (Type III)	Adult	On	Delete
BMA060	Inherently Buoyant	Calm Water (Type III)	Adult	On	Delete
BMA073	Inflatable	Near Shore (Type II)	Adult	On	Delete
BMA082	Inherently Buoyant	Calm Water (Type III)	Adult	On	Delete
BMA096	Inherently Buoyant	Calm Water (Type III)	Adult	On	Delete
BMA104	Inflatable	Calm Water (Type III)	Adult	On	Delete
BMA111	Hybrid	Near Shore (Type II)	Adult	On	Delete
BMA128	Inherently Buoyant	Open Water (Type I)	Adult	On	Delete
BMA136	Inflatable	Calm Water (Type III)	Adult	On	Delete
BMA141	Inherently Buoyant	Calm Water (Type III)	Adult	On	Delete
BMA158	Inherently Buoyant	Open Water (Type I)	Adult	On	Delete